

PTO-1390 (Rev. 12-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 1512.2.146 DESIGNATED/ELECTED OFFICE (DO/EO/US)

	C	ONCERNING A FILING	10/526,892							
INTERN		NAL APPLICATION NO. PCT/GB2003/003786	INTERNATIONAL FILING DATE September 1, 2003	PRIORITY DATE CLAIMED September 3, 2002						
TITLE C	TITLE OF INVENTION  BALL OPERATED BY-PASS TOOL FOR USE IN DRILLSTRING									
APPLICANT(S) FOR DO/EO/US										
Paul Bernard Lee										
	cant	herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1.		This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.								
2.	$\boxtimes$	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.								
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.		The US has been elected (Article	<b>31).</b>							
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		a.  is attached hereto (requ	ired only if not communicated by the Inter	national Bureau).						
		b.  has been communicate	d by the International Bureau.							
		c.  is not required, as the a	pplication was filed in the United States R	eceiving Office (RO/US).						
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
		a.  is attached hereto.								
		b.  has been previously sul	bmitted under 35 U.S.C. 154(d)(4).							
7.		Amendments to the claims of the	International Application under PCT Articl	le 19 (35 U.S.C. 371(c)(3))						
		a. are attached hereto (red	a. are attached hereto (required only if not communicated by the International Bureau).							
		b.  have been communicat								
		c.  have not been made; he	owever, the time limit for making such ame	endments has NOT expired.						
		d. have not been made an	id will not be made.							
8.		An English language translation of	of the amendments to the claims under PC	CT Article 19 (35 U.S.C. 371 (c)(3)).						
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).								
10.		An English language translation of Article 36 (35 U.S.C. 371 (c)(5)).	of the annexes of the International Prelimin	nary Examination Report under PCT						
Item	s 11	to 20 below concern document	(s) or information included:							
11.		An Information Disclosure Statem	nent under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recoincluded.	ording. A separate cover sheet in complia	nce with 37 CFR 3.28 and 3.31 is						
13.		A preliminary amendment.								
14.		An Application Data Sheet under	37 CFR 1.76.							
15.		A substitute specification.								
16.		A power of attorney and/or change of address letter.								
17.		A computer-readable form of the 1.825.	sequence listing in accordance with PCT	Rule 13 <i>ter.</i> 2 and 37 CFR 1.821 –						
18.		A second copy of the published in	nternational application under 25 U.S.C. 1	54(d)(4).						
19.		A second copy of the English lang	guage translation of the international appli	cation under 35 U.S.C. 154(d)(4).						
20.	$\boxtimes$	Other items or information: Certif	icate of Mailing							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

29 NOV 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION	N NO. (If known, see <b>10/526,892</b>	37 CFR 1.5)	INTERNATIONAL APPLICATION PCT/GB2003			ATTORNEY'S DOCKE 1512.	T NUMBER . <b>2.146</b>	
The	following fees h	ave been sub	mittad			CALCULATIONS	PTO USE ONLY	
	•			•	\$300	\$		
22. Exam If international	preliminary exar f PCT Article 33(							
						\$		
23.	ch Fee					<u> </u>		
Search fee (37 USPTO a	CFR 1.445(a)(2 s an Internationa	al Searching A	aid on the international ap		\$100			
			ovided to the Office			\$		
All other situat	ions			••••••	\$5UU			
	TOTAL OF 2	1, 22 and d23	<b>}</b> =			\$		
sequence		iter program li I 50 pages or		mediu				
Total Sheets	Extra Sheets		f each additional 50 or fractional up to a whole numb		RATE			
- 100 =	/ 50 =				X \$250	\$		
	130.00 for furnis priority date (37		or declaration later than 3(e)).	) mont	hs from the	\$130.00		
CLAIM	S NUM	BER FILED	NUMBER EXTRA		RATE			
Total Cla		- 20 =			\$50	\$		
Independent	1	- 3 =			\$200	\$		
MULTIPLE DE	PENDENT CLAI		TAL OF ABOVE CALCU		\$360 NS =	\$		
Applicant clared	-		37 CFR 1.27. The fees in			\$		
				SUBT	OTAL =	\$65.00		
	of <b>\$130</b> for furni priority date (37		lish translation later than 3 )).	0 mon	ths from the	\$		
TOTAL NATIONAL FEE =						\$65.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +								
/2005 GFREY1	00000101 10526	892	TOTAL FEES	ENCL	OSED =	\$65.00		
:2617		65.00 OP				Amount to be refunded:	\$	
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						charged:	<u>Γ</u>	
a. A check in the amount of \$ to cover the above fee is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A								
duplicate of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. <u>13-0763</u> . A duplicate of this sheet is enclosed.  d.  Fees are to be charged to a credit card <b>WARNING:</b> Information on this form may become public. <b>Credit card</b>								
information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.								
SEND ALL CO	DRRESPONDEN	15 Sa	DSON & METCALF West South Temple, So It Lake City, Utah 8410 ited States of America		00 SIGNATU	JZU JRE	Mt	
		Tel	ephone: (801) 537-170 csimile: (801) 537-1799		<u>Evan R. \</u> NAME	Witt		
					32,512 REGISTI	RATION NUMBER		
i								



## IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

Appl. No.

10/526,892

**Applicant** 

Paul Bernard Lee

Title

BALL OPERATED BY-PASS TOOL FOR USE IN

**DRILLSTRING** 

Filed

March 3, 2005

TC/A.U.

(not yet assigned)

Examiner

(not yet assigned)

Docket No.

1512.2.146

Customer No.

21552

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label No.: EO 914 740 117 US

Date of Deposit: November 29, 2005

#### Dear Sir:

I hereby certify that the following items are being deposited with the United States Postal Service as "Express Mail Post Office Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450, on November 29, 2005:

- 1. Patent Declaration;
- 2. Credit card form for the amount of \$65.00;
- 3. Copy of Notification of Missing Requirements; and
- Transmittal Letter. 4.

Respectfully submitted,

Evan R. Witt Reg. No. 32,512

Attorney for Applicant

Date: November 29, 2005

**MADSON & METCALF Gateway Tower West** 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 Telephone: 801/537-1700





29 NOV 2005 105



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. 10/526,892

Paul Bernard Lee

1512.2.146

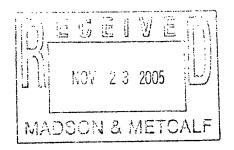
INTERNATIONAL APPLICATION NO.

PCT/GB03/03786 PRIORITY DATE

I.A. FILING DATE 09/01/2003

09/03/2002

Madson & Metcalf 15 West South Temple Suite 900 Salt Lake City, UT 84101



**CONFIRMATION NO. 7327 371 FORMALITIES LETTER** OC000000017429190\*

Date Mailed: 11/17/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/03/2005
- Copy of the International Search Report filed on 03/03/2005
- Preliminary Amendments filed on 03/03/2005
- Information Disclosure Statements filed on 04/07/2005
- U.S. Basic National Fees filed on 03/03/2005
- Priority Documents filed on 03/03/2005
- Specification filed on 03/03/2005
- Claims filed on 03/03/2005
- Abstracts filed on 03/03/2005
- Drawings filed on 03/03/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Ву RESPONSE DUE Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.	
10/526,892	PCT/GB03/03786	1512.2.146	

FORM PCT/DO/EO/905 (371 Formalities Notice)